

A Polylog-Time and $O(n\sqrt{\lg n})$ -Work Parallel Algorithm for Finding the Row Minima in Totally Monotone Matrices*

Phillip G. Bradford, Rudolf Fleischer, Michiel Smid

March 17, 1995

Abstract

We give a parallel algorithm for computing all row minima in a totally monotone $n \times n$ matrix which is simpler and more work efficient than previous polylog-time algorithms. It runs in $O(\lg n \lg \lg n)$ time doing $O(n\sqrt{\lg n})$ work on a CRCW PRAM, in $O(\lg n (\lg \lg n)^2)$ time doing $O(n\sqrt{\lg n})$ work on a CREW PRAM, and in $O(\lg n \sqrt{\lg n \lg \lg n})$ time doing $O(n\sqrt{\lg n \lg \lg n})$ work on an EREW PRAM.

1 Introduction

Let M be an $m \times n$ matrix whose entries belong to some totally ordered set. The *row minima problem* is to find for each row $i \in \{1, \dots, m\}$ the index $\min(i)$ of that column that contains the minimal element of row i . The *row maxima problem* is defined symmetrically. Throughout this paper, we assume that all entries of M are distinct; otherwise, we could replace entry $M_{i,j}$ by the triple $(M_{i,j}, i, j)$ and use the lexicographical order on these triples. We assume further that each entry $M_{i,j}$ can be accessed in constant time.

Clearly, the row minima problem has time complexity $\Theta(mn)$. It turns out, however, that many problems can be reduced to the row minima problem for matrices of a special form.

Definition 1 An $m \times n$ matrix M is *monotone* if $\min(i) \leq \min(j)$ for all $1 \leq i < j \leq m$.

Aggarwal *et al.* [1] proved that solving the row minima problem on a monotone $m \times n$ matrix has time complexity $\Theta(m \lg n)$. They also observed that in many applications an even more restricted type of matrices occurs.

Definition 2 An $m \times n$ matrix M is *totally monotone* if every 2×2 minor is monotone. That is, for all $1 \leq i < k \leq m$ and $1 \leq j < l \leq n$, if $M_{i,j} > M_{i,l}$ then $M_{k,j} > M_{k,l}$.

*The authors were supported by the ESPRIT Basic Research Actions Program, under contract No. 7141 (project ALCOM II). The first author was also partially supported by NSF Grant # CCR-9203942 while he was at Indiana University.

Many problems like computing extremal inscribed or circumscribed k -gons [1], wire routing [1], the matrix chain ordering problem [6], or prediction of RNA secondary structure [9], can be reduced to the row minima problem on totally monotone matrices. Therefore, the parallel algorithm for the latter problem, which we develop in this paper, leads directly to improved parallel solutions for many problems. We remark that in all these examples it is not necessary to compute the whole matrix in advance, which would need $\Theta(mn)$ time. Rather, in $O(m+n)$ time, we can compute an implicit representation of the matrix, such that in constant time we can compute any matrix element.

Consider the following example from [1]: Given a convex n -gon P in the plane with vertices p_0, \dots, p_{n-1} , find for each vertex p_i its furthest neighbor in P . This problem is equivalent to finding the row maxima of the following totally monotone $n \times (2n-1)$ matrix M (see [1] for details) :

If $i < j \leq i+n-1$ then $M_{i,j} = \text{dist}(p_i, p_{j \bmod n})$.

If $j \leq i$ then $M_{i,j} = j - i$.

If $j \geq i+n$ then $M_{i,j} = -1$.

It was shown in [1] that for $m \leq n$ the row minima problem on a totally monotone $m \times n$ matrix can be solved in asymptotically optimal $O(n)$ time, and so can be the all-furthest-neighbors problem for a convex n -gon. Recently, Bradford and Reinert [7] gave a lower bound of $3n-9$ on the number of comparisons needed to solve the row minima problem on a totally monotone $n \times n$ matrix.

Having settled the sequential complexity of the problem asymptotically, researchers began designing parallel algorithms for the row minima problem on totally monotone matrices. Let us assume from now on that $m = n$.

Aggarwal and Park [2] showed how to solve the problem in $O(\lg n)$ time and $O(n \lg n)$ work on a CRCW PRAM. They also gave an $O(\lg^2 n / \lg \lg n)$ (resp. $O(n^\epsilon)$) time and $O(n \lg n / \lg \lg n)$ (resp. $O(n)$) work algorithm for the CREW PRAM (for any $\epsilon > 0$). As Raman and Vishkin [10] pointed out, the two latter algorithms work on an EREW PRAM as well. Atallah and Kosaraju [4] gave an EREW PRAM algorithm that runs in $O(\lg n)$ time and does $O(n \lg n)$ work.

Raman and Vishkin [10] designed optimal *randomized* algorithms which run with high probability in $O(\lg n)$ (resp. $O(\lg \lg n)$) time on an EREW (resp. CRCW) PRAM doing $O(n)$ work.

Until now, no *deterministic* algorithm was known that solves the row minima problem for a totally monotone $n \times n$ matrix in polylogarithmic time and $o(n \lg n / \lg \lg n)$ work. In this paper, we give such an algorithm which, on an EREW PRAM, improves the work of all previous algorithms [2, 4] by a factor of almost $\Theta(\sqrt{\lg n})$. Moreover, it is faster than the algorithm in [2]. On the CREW or CRCW PRAM, our algorithm is even more efficient. More precisely, we prove the following theorem.

Theorem 3 (Main Theorem) We can solve the row minima problem on $n \times n$ totally monotone matrices

- on a CRCW PRAM in $O(\lg n \lg \lg n)$ time and $O(n\sqrt{\lg n})$ work,

PRAM Model	Time	Work	Source
CRCW	$O(\lg n \lg \lg n)$	$O(n\sqrt{\lg n})$	this paper
CREW	$O(\lg n (\lg \lg n)^2)$	$O(n\sqrt{\lg n})$	this paper
EREW	$O(\lg^2 n / \lg \lg n)$	$O(n \lg n / \lg \lg n)$	[2, 10]
	$O(\lg n \sqrt{\lg n \lg \lg n})$	$O(n\sqrt{\lg n \lg \lg n})$	this paper
	$O(\lg n)$	$O(n \lg n)$	[4]
	$O(n^\epsilon)$	$O(n)$	[2, 10]

Table 1: Comparing the most efficient deterministic parallel solutions to the row minima problem on $n \times n$ totally monotone matrices. The results in the third and sixth line were given in [2] for the CREW PRAM, but [10] observed that they also hold for the EREW PRAM.

- on a CREW PRAM in $O(\lg n (\lg \lg n)^2)$ time and $O(n\sqrt{\lg n})$ work,
- on an EREW PRAM in $O(\lg n \sqrt{\lg n \lg \lg n})$ time and $O(n\sqrt{\lg n \lg \lg n})$ work.

On the CREW and EREW PRAM, there is in fact a tradeoff between time and work, the other extreme being $O(\lg n \lg \lg n)$ time and $O(n \lg n)$ work.

The rest of this paper is organized as follows. In Section 2, we recall some results about totally monotone matrices and about elementary sorting subroutines which we need. In Section 3, we first outline our algorithm, then give the main routines in more detail in Subsections 3.2 and 3.3, and finally put the pieces together in Subsection 3.4. We close with some remarks in Section 4.

2 Preliminaries

We start by recalling some results from the literature. Let M be a totally monotone $m \times n$ matrix. The following proposition follows directly from Definition 2.

Proposition 4 For any two columns $a < b$, there exists a unique row $k \in \{0, \dots, m\}$, called the *change-over* of a and b , such that $M_{i,a} < M_{i,b}$ for all $i \leq k$ and $M_{j,a} > M_{j,b}$ for all $j > k$.

We say that column $b \in \{1, \dots, n\}$ is *useless* if it does not contain any row minima. Obviously, if $m < n$ then M contains at least $n - m$ useless columns.

Lemma 5 If there exist columns a and c with $a < b < c$ and rows $i, j \in \{1, \dots, m\}$ with $j \leq i + 1$ such that $M_{i,a} < M_{i,b}$ and $M_{j,b} > M_{j,c}$, then column b is useless. Moreover, b is useless if either $M_{1,b} > M_{1,c}$ or $M_{m,a} < M_{m,b}$.

Proof: Proposition 4 implies that column b cannot contain a row minimum above row i or below row j . (See Figure 1 for the case when $j = i + 1$.) ■

Aggarwal *et al.* [1] used this in their optimal sequential algorithm for totally monotone $n \times n$ matrices which works as follows: Throw away all even rows, walk along

Figure 1: The shaded column is useless because $A < A'$ and $B' > B$.

the diagonal of the remaining matrix and eliminate $\frac{n}{2}$ useless columns, solve the row minima problem recursively on the now $\frac{n}{2} \times \frac{n}{2}$ matrix, then reinsert the even rows and find their minima in time $O(n)$.

The next theorem shows that the last step of this algorithm can be done efficiently in parallel. However, identifying many useless columns seems to be a difficult task to do in parallel.

Theorem 6 ([2, 10]) Let M be a totally monotone $n \times n$ matrix, and assume we are given the row minima for every r -th row of M . Then there is an EREW PRAM algorithm that computes the remaining row minima in $O(r + \lg n)$ time using n/r processors.

Unfortunately, applying Theorem 6 recursively does not seem to give an efficient parallel algorithm. Therefore, we show how to identify useless columns efficiently in parallel.

Now, we give our basic approach for solving the row minima problem on totally monotone $n \times n$ matrices. We start by recalling some results from the literature.

Theorem 7 ([4]) Let $c \geq 1$ be some constant. Given an $n \times cn$ totally monotone matrix M , we can find its row minima in $O(\lg n)$ time using n processors on an EREW PRAM.

Proof: Atallah and Kosaraju [4] showed this for $c = 1$. So if we split M into c submatrices of size $n \times n$, we can find the row minima of each submatrix in time $O(\lg n)$ using n processors, and then find the row minima of M in time $O(1)$ by choosing between c candidates in each row. ■

Let M (resp. N) be an $m \times n$ (resp. $m \times n'$) matrix, where $n' \leq n$. We say that N has the same row minima as M , if for each $1 \leq i \leq m$, the minimum of the i -th row of M is the same as the minimum of the i -th row of N .

In the next theorem, we will say that an algorithm computes an $m \times n'$ matrix N that has the same row minima as a given $m \times n$ matrix M . This means that the matrix

N is represented implicitly in $O(m + n')$ space, that we can access every entry of N in constant time, and that for each $1 \leq i \leq m$, if we are given the index of the column in N that contains the minimal element of the i -th row, then we can in constant time compute the index of the column in M that contains the minimal element of the i -th row.

Theorem 8 Let c be a positive integer constant. Let \mathcal{A} be a PRAM algorithm that, given any totally monotone $n/\lg n \times n$ matrix M' , computes a totally monotone $n/\lg n \times cn/\lg n$ matrix M'' that has the same row minima as M' . Let $f(n)$ (resp. $g(n)$) denote the amount of time (resp. work) this algorithm takes.

Then the row minima problem on totally monotone $n \times n$ matrices can be solved in $O(\lg n + f(n))$ time and $O(n + g(n))$ work.

Proof: Let M be a totally monotone $n \times n$ matrix. Let M' be the totally monotone $n/\lg n \times n$ matrix obtained by taking every $\lg n$ -th row of M . By our assumption, we can in $f(n)$ time and with $g(n)$ work compute a totally monotone $n/\lg n \times cn/\lg n$ matrix M'' that has the same row minima as M' . By Theorem 7, we can solve the row minima problem for M'' (and, hence, for M') in $O(\lg n)$ time with $O(n)$ work. Given the row minima for M' , Theorem 6 implies that we can find all row minima of M in $O(\lg n)$ time and $O(n)$ work. Note that the results of Theorems 6 and 7 hold for the EREW PRAM which is the weakest PRAM model. As a result, we can solve the row minima problem for M on the same PRAM model as that on which algorithm \mathcal{A} works. This completes the proof. ■

This theorem implies that it suffices to design parallel algorithms that, given a totally monotone $n/\lg n \times n$ matrix M' , compute a totally monotone $n/\lg n \times cn/\lg n$ matrix M'' , for some integer constant c , that has the same row minima. In the rest of this paper, we will show how to design such algorithms. We note that Raman and Vishkin [10] used a similar strategy in their randomized algorithm.

Note that the matrix M'' always exists: M' has $n/\lg n$ rows and, hence, there are this many row minima. Hence, the main problem is to reduce the number of columns from n to $cn/\lg n$.

We close this section by mentioning some standard results for computing prefix sums and parallel integer sorting.

Theorem 9 Given n 0/1-variables x_1, \dots, x_n , we can compute all prefix sums $\sum_{i=1}^k x_i, k = 1, \dots, n$, on an EREW PRAM in $O(\lg n)$ time and $O(n)$ work.

Proof: See for example [8]. ■

Theorem 10 Given n integer variables $x_1, \dots, x_n \in \{1, \dots, n\}$, we can stable sort them

- on a CRCW PRAM in $O((\lg n)/\lg \lg n)$ time and $O(n \lg \lg n)$ work,
- on a CREW PRAM in $O(\lg n \lg \lg n)$ time and $O(n\sqrt{\lg n})$ work,

- on an EREW PRAM in $O\left(\lg n \sqrt{\frac{\lg n}{\lg \lg n}}\right)$ time and $O(n\sqrt{\lg n \lg \lg n})$ work.

On the CREW and EREW PRAM, there is in fact a tradeoff between time and work, the other extreme being $O(\lg n)$ time and $O(n \lg n)$ work.

Proof: The CRCW algorithm is due to Bhatt *et al.* [5]. The CREW and EREW algorithms are due to Albers and Hagerup [3]. ■

3 Our Algorithm

3.1 The General Idea

In this section we want to outline our algorithm for identifying many useless columns in an $r \times n$ totally monotone matrix M , where $r \ll n$ (later we will choose $r = \frac{n}{\lg n}$). Let $k = \sqrt{\lg r}$.

The algorithm runs in l phases. (We will see later that we can take $l = \Theta(\lg \lg n)$.) When we start a new phase with an $r \times m$ matrix (where $m \geq 8r$), then during this phase we will identify and delete at least $\frac{1}{4}m$ useless columns, thus leaving a matrix of size at most $r \times \frac{3}{4}m$ for the next phase.

At the beginning of a phase, we partition the $r \times m$ matrix into blocks of k contiguous columns (the last block may be smaller), and assign one processor to each block. Then each processor runs the procedure *Color_Block* independently on its block of columns. The phase ends with a run of *Color_All* on the entire $r \times m$ matrix. *Color_Block* tries to eliminate columns locally, whereas *Color_All* eliminates columns based on global information so these columns may be far apart (*Color_Block* computes candidate columns which are potentially useless and turns them over to *Color_All*).

Color_Block uses three colors to color all columns: A *red* column is known to be useless, a *yellow* column still has a chance of being found useless in the procedure *Color_All*, and a *green* column will definitely survive this phase, but at the end of a phase there are at most two green columns in each block.

The yellow columns are always created pairwise, so we call such a pair a *yellow pair*. There is also an integer $row(a, b) \in \{1, \dots, r\}$ attached to each yellow pair (a, b) , such that there exist columns c and d , $a < c \leq d < b$, with $M_{row(a,b),a} > M_{row(a,b),c}$ and $M_{row(a,b),d} < M_{row(a,b),b}$; we call this property the *yellow-property* of a yellow pair. Further, all *row*-values within a block will be different.

Color_All will then work on the yellow pairs and find nearly as many useless columns as there are yellow pairs. It colors these useless columns red, the other columns green. The green columns can then be compacted (using a prefix sum algorithm) into a smaller matrix which serves as input for the next phase.

3.2 Procedure *Color_Block*

The procedure *Color_Block* gets as input an $r \times k$ matrix (recall that $k = \sqrt{\lg n}$). Since there is only one processor assigned to each block, it is a purely sequential algorithm.

At the beginning, all columns are colored green. *Color_Block* now runs in *iterations*. In each iteration, we either throw away some columns or some rows. We remark that after each run of *Color_Block* all rows re-appear. Further after each phase all columns that were not red re-appear. We stop running executions of *Color_Block* when only two rows are left.

We maintain the following *iteration invariant* which holds after each iteration:

All columns are green, and the matrix elements in the top row are increasing from left to right, whereas the elements in the bottom row are decreasing.

So if we have a matrix of two rows, we know from Lemma 5 that all columns except the first and the last ones are useless and can therefore be colored red.

We can easily guarantee the iteration invariant before the first iteration. We just scan through the first row, coloring all columns containing a local maximum red (these columns are useless by Lemma 5); this may include some backtracking, but each column is visited at most twice. Similarly, all columns right of a local minimum in the last row are useless and can be colored red.

Now, as well as after each iteration, we must deal with the columns we have just colored yellow or red. Since we need to delete these columns (at least conceptually), the easiest way seems to have two arrays *left* and *right* of size k which contain for each green column its closest green neighbor to the left and to the right, respectively. Then each coloring (i.e., deletion) takes constant time. To make the algorithms simpler, we simulate two more columns 0 and $k+1$ that are always green, and whose entries are all ∞ . These two columns should obviously not be included into the iteration invariant.

3.2.1 One Iteration

Each iteration consists of two steps. Assume, the current matrix consists of rows $v, v+1, \dots, w-1, w$ of our original $r \times k$ matrix.

First, we start a binary search for the change-over between the first two columns from row v down to row w , but we stop after k comparisons. This gives us two rows $i < j$ with $M_{i,1} < M_{i,2}$ and $M_{j,1} > M_{j,2}$. If $w - v = r'$, then $j - i = r'/2^k$.

Then we make rows i and j monotone by calling *ScanRow* for both of them (see Figure 3). *ScanRow*(s) first deletes useless columns until the elements of s form a monotone decreasing chain followed by a monotone increasing chain. If the decreasing chain is not longer than the increasing chain, then we could pair all columns of the decreasing chain with columns of the increasing chain to create yellow pairs, except that then all of them would have the same *row*-value. Therefore, we call *ScanUp* which establishes a staircase of $>$'s as depicted in Figure 2, and in the process eventually finds some more useless columns. Since all rows above s must also be increasing where row s is increasing (Proposition 4), we can now create yellow pairs with different *row*-values. Deleting them gives us a monotone increasing row s .

Similarly, we compute a downward staircase if the increasing chain is shorter; then row s becomes decreasing.

Among rows $\{v, i, j, w\}$, let v' be the largest of the increasing rows, and w' the smallest of the decreasing rows. By Proposition 4, $v' < w'$. Now we delete all rows

Procedure *ScanRow* (s)
-- Columns 0 and $k + 1$ are green, and $M_{s,0} = M_{s,k+1} = \infty$.

$j = \text{right}(0)$; -- first green column

while $j < k + 1$

do if ($M_{s,\text{left}(j)} < M_{s,j}$) **and** ($M_{s,j} > M_{s,\text{right}(j)}$)

then $j' = \text{left}(j)$;

 color column j red and delete it; -- Lemma 5

$j = j'$; -- backtrack

else $j = \text{right}(j)$;

-- Now the green columns form a pattern

-- $M_{s,1} > \dots > M_{s,p} < M_{s,p+1} < \dots < M_{s,q}$

if $p \leq \frac{q}{2}$ **then** *ScanUp*(s, p);

for $j = 1, \dots, p - 1$ **do** create yellow pairs ($p - j, p + j$)

 with *row*-value $s - j + 1$;

else *ScanDown*(s, p);

for $j = 1, \dots, q - p$ **do** create yellow pairs ($p - j, p + j$)

 with *row*-value $s + j - 1$;

Procedure *ScanUp* (s, p)
-- Search a diagonal of $>$'s going up from p .
-- We know that $M_{s,\text{left}(p)} > M_{s,p}$.

$p = \text{left}(p)$; $s = s - 1$;

while $p > 0$ -- Invariant : $M_{s+1,p} > M_{s+1,\text{right}(p)}$

do if $M_{s,\text{left}(p)} > M_{s,p}$

then $s = s - 1$;

$p = \text{left}(p)$;

else $q = \text{right}(p)$;

 color p red and delete it; -- Lemma 5

$s = s + 1$; -- backtrack

$p = q$;

Procedure *ScanDown* (s, p)
-- Search a diagonal of $<$'s going down from p .
-- We know that $M_{s,p} < M_{s,\text{right}(p)}$.

$p = \text{right}(p)$; $s = s + 1$;

while $p < k + 1$ -- Invariant : $M_{s-1,\text{left}(p)} < M_{s-1,p}$

do if $M_{s,p} < M_{s,\text{right}(p)}$

then $s = s + 1$;

$p = \text{right}(p)$;

else $q = \text{left}(p)$;

 color p red and delete it; -- Lemma 5

$s = s - 1$; -- backtrack

$p = q$;

Figure 3: Procedures *ScanRow*, *ScanUp* and *ScanDown*

3.3 Procedure *Color_All*

We may assume that *Color_Block* created exactly $\frac{k}{2}$ yellow pairs in each block, storing all of them in an array of size $\frac{m}{2}$ (we can add dummy yellow pairs which are later ignored). If we now sort the yellow pairs by their *row*-values, they will be grouped in contiguous blocks with the same *row*-value. The next lemma shows that if now two neighbors in the array happen to have the same *row*-value, then we can color one of the four columns involved red. This can easily be done with $O(\frac{n}{\lg n})$ processors in $O(\lg n)$ time.

Lemma 13 Let (a, b) and (s, t) be two yellow pairs with $b < s$. If $\text{row}(a, b) = \text{row}(s, t)$, then either column b or column s is useless.

Proof: Let $i = \text{row}(a, b) = \text{row}(s, t)$. The yellow-property and Lemma 5 imply that column s is useless if $M_{i,b} < M_{i,s}$, otherwise column b is useless. ■

Lemma 14 Procedure *Color_All* runs

- on a CRCW PRAM in $O((\lg m)/\lg \lg m)$ time doing $O(m \lg \lg m)$ work,
- on a CREW PRAM in $O(\lg m \lg \lg m)$ time doing $O(m\sqrt{\lg m})$ work,
- on an EREW PRAM in $O\left(\lg m \sqrt{\frac{\lg m}{\lg \lg m}}\right)$ time doing $O(m\sqrt{\lg m \lg \lg m})$ work.

Proof: Follows directly from Theorem 10. ■

Lemma 15 If $m \geq 8r$ and $k \geq 8$ (i.e., $n \geq 2^{64}$), then at least $\frac{m}{4}$ columns will be colored red during *Color_All*.

Proof: Assume that we have a total of l_1 red and l_2 yellow columns after running *Color_Block* on all blocks. Since there can be at most two green columns in each of the $\frac{m}{k}$ blocks, we have $l_1 + l_2 \geq m - \frac{2m}{k}$.

If there are t_i yellow pairs with *row*-value i then we will color $t_i - 1$ columns red (note that the sorting algorithm is stable, so the yellow pairs are ordered with increasing column numbers). Hence we will get a total of $l_1 + \sum_i (t_i - 1) = l_1 + \frac{l_2}{2} - r \geq \frac{m}{2} - \frac{m}{k} - r \geq \frac{m}{4}$ red columns. ■

3.4 Analysis of the Algorithm

Let $r = \frac{n}{\lg n}$.

Theorem 16 After $3 \lg \lg n$ phases of our algorithm, an $r \times n$ matrix M is reduced to an at most $r \times 8r$ matrix with the same row minima as M . This takes

- $O(\lg n \lg \lg n)$ time and $O(n\sqrt{\lg n})$ work on a CRCW PRAM,

- $O(\lg n(\lg \lg n)^2)$ time and $O(n\sqrt{\lg n})$ work on a CREW PRAM,
- $O(\lg n\sqrt{\lg n \lg \lg n})$ time and $O(n\sqrt{\lg n \lg \lg n})$ work on an EREW PRAM.

Proof: After l phases the matrix has at most $\left(\frac{3}{4}\right)^l n$ columns (Lemma 15). So after at most $3 \lg \lg n$ phases there are at most $\frac{8n}{\lg n} = 8r$ columns.

In phase i , *Color_Block* needs $O(\lg r) = O(\lg n)$ time and

$$O\left(\left(\frac{3}{4}\right)^i \frac{n}{k} \lg r\right) = O\left(\left(\frac{3}{4}\right)^i n\sqrt{\lg n}\right)$$

work (Lemma 12), so the total time for *Color_Block* over all phases is $O(\lg n \lg \lg n)$, and the total work is $O(n\sqrt{\lg n})$. The complexity bound now follows from Lemma 14. ■

This together with Theorem 8 implies our Main Theorem (Theorem 3).

4 Conclusions

We have given an efficient deterministic parallel algorithm for computing the minima of all rows of a totally monotone matrix. For the CREW and EREW PRAM, the bottleneck is the sorting step.

But we do not really need sorting here, a weaker concept like *semi-sorting* [11] (i.e., group all equal elements together, not regarding the order between groups) would also suffice. Unfortunately, only efficient randomized algorithms are known for that problem.

Further, when we start a new phase of our algorithm in Section 3 we forget everything which we might have learned in previous phases. We cannot say exactly how much we lose here, but we have the impression that a thorough analysis could improve our complexity bounds.

Acknowledgements

We thank Torben Hagerup for discussions about parallel sorting algorithms.

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