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and Cube Connected Cycles

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# ON CROSSING NUMBERS OF HYPERCUBES AND CUBE CONNECTED CYCLES

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## Abstract

We prove tight bounds for crossing numbers of hypercube and cube connected cycles (CCC) graphs.

## 1 Introduction

Recently the hypercube-like networks have received considerable attention in the field of parallel computing due to its high potential for system availability and parallel execution of algorithms (see e.g. [4]). This motivates to investigation of various, from this point of view important, properties of the  $n$ -dimensional hypercube graph  $Q_n$  and its bounded degree alternatives: Cube Connected Cycles (CCC), Butterfly and de Bruijn graphs. In this paper we concentrate on the crossing number of  $Q_n$  and  $CCC_n$ .

The crossing number  $cr(G)$  of a graph  $G$  is defined as the least number of crossings of its edges when  $G$  is drawn in a plane. In practice, crossing numbers appear in the fabrication of VLSI circuits. The crossing number of a graph corresponding to the VLSI circuit has strong influence on the area of the layout as well as on the number of wire - contact cuts that should be minimized. Leighton [6] pointed out that crossing numbers provide a good area lower bound argument in VLSI complexity theory. According to the survey paper [3], all that is known on the exact values of  $cr(Q_n)$  is  $cr(Q_3) = 0$ ,  $cr(Q_4) = 8$  and  $cr(Q_5) \leq 56$ . Erdős and Guy conjectured in [2] that  $cr(Q_n) \leq (5/32)4^n - \lfloor (n^2 + 1)/2 \rfloor 2^{n-1}$ .

We prove the following tight bounds on  $cr(Q_n)$  and  $cr(CCC_n)$ :

$$\frac{4^n}{20} - (n+1)2^{n-2} < cr(Q_n) < \frac{4^n}{6} - n^2 2^{n-3}$$

$$\frac{4^n}{20} - 3(n+1)2^{n-2} < cr(CCC_n) < \frac{4^n}{6} + 3n^2 2^{n-3}.$$

Our results on  $cr(Q_n)$  and  $cr(CCC_n)$  give immediately alternative proofs that the area complexity of hypercube and CCC computers realized on VLSI circuits is  $A = \Omega(4^n)$ . Previous proofs are in [1, 7]. Optimal layouts are proposed in [1, 9].

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## 2 Upper bounds

The  $n$ -dimensional hypercube graph  $Q_n$  is defined recursively as follows.

1.  $Q_2 = K_2$ .
2. Let  $n \geq 2$ . Then  $Q_{n+1}$  is constructed from two copies of  $Q_n$  by inserting edges between corresponding vertices.

First we give a simple recursive drawing of  $Q_n$  in a plane. Consider the real axis  $x$  in the 2-dimensional Euclidean plane. Let  $D_{n-1}$  be a drawing of  $Q_{n-1}$  in the plane such that the vertices of  $Q_{n-1}$  are the points  $0, 1, 2, \dots, 2^{n-1} - 1$  on  $x$ . Produce a symmetrical drawing to  $D_{n-1}$  around the line normal to  $x$  in the point  $2^{n-1} - 0.5$ . If  $n$  is even (odd) then join the points  $i$  and  $2^n - 1 - i$ ,  $i = 0, 1, \dots, 2^{n-1} - 1$  by circular arcs above (below)  $x$ .

**Lemma 2.1** *Let  $\text{cr}_0(Q_n)$  denote the number of crossings in the above construction. Then*

$$\text{cr}_0(Q_n) < \frac{4^n}{6} - n^2 2^{n-3}.$$

*Proof:* It is easy to show that  $\text{cr}_0(Q_n)$  satisfies the following recurrent relation

$$\text{cr}_0(Q_n) = 2\text{cr}_0(Q_{n-1}) + \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor - 1} 4^i \sum_{j=1}^{n-2i} (2^{n-2i} - 2).$$

The direct solution of the relation implies the claimed upper bound for  $\text{cr}_0(Q_n)$ .  $\square$

**Theorem 2.1**

$$\text{cr}(Q_n) < \frac{4^n}{6} - n^2 2^{n-3}.$$

The graph  $CCC_n$  is defined as follows. The set of vertices consists of tuples  $(i, j)$ ,  $i = 0, 1, 2, 3, \dots, 2^n - 1$ ,  $j = 0, 1, 2, \dots, n - 1$ . Vertices  $(i_1, j_1)$  and  $(i_2, j_2)$  are adjacent if and only if  $i_1 = i_2$  and  $|j_2 - j_1| \bmod n = 1$  or  $j_1 = j_2$  and the binary representations of  $i_1, i_2$  differ only in the  $j_1$ -th bit. Thus  $CCC_n$  is obtained from  $Q_n$  by a proper replacing of vertices of  $Q_n$  by cycles of length  $n$ .

**Theorem 2.2**

$$\text{cr}(CCC_n) < \frac{4^n}{6} + 3n^2 2^{n-3}.$$

*Proof:* Consider the above drawing  $D_n$  of  $Q_n$  in the plane. Around each vertex of  $Q_n$  we find a region containing no crossings. In each region we replace the vertex by a cycle of length  $n$ . Thus we have constructed a plane drawing of  $CCC_n$  having  $\leq \text{cr}_0(Q_n) + \binom{n-1}{2} 2^n$  crossings.  $\square$

## 3 Lower bounds

We apply the lower bound method proposed by Leighton [6]. Let  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be graphs. An embedding of  $G_1$  in  $G_2$  is a couple of mappings  $(\phi, \psi)$  satisfying

$$\phi : V_1 \rightarrow V_2 \quad \text{is an injection}$$

$$\psi : E_1 \rightarrow \{\text{set of all paths in } G_2\}$$

such that if  $(u, v) \in E_1$  then  $\psi((u, v))$  is a path between  $\phi(u)$  and  $\phi(v)$ . For any  $e \in E_2$  define

$$\text{cg}_e(\phi, \psi) = |\{f \in E_1 : e \in \psi(f)\}|$$

and

$$\text{cg}(\phi, \psi) = \max_{e \in E_2} \{\text{cg}_e(\phi, \psi)\}.$$

The value  $\text{cg}(\phi, \psi)$  is called congestion.

**Lemma 3.1** [6] *Let  $(\phi, \psi)$  be an embedding of  $G_1$  in  $G_2$  with congestion  $\text{cg}(\phi, \psi)$ . Then*

$$\text{cr}(G_2) \geq \frac{\text{cr}(G_1)}{\text{cg}^2(\phi, \psi)} - \frac{|E_2|}{2}. \quad (1)$$

**Theorem 3.1**

$$\text{cr}(Q_n) > \frac{4^n}{20} - (n+1)2^{n-2}.$$

*Proof:* Let  $2K_m$  denote the complete multigraph of  $m$  vertices, in which every two vertices are joined by two parallel edges. Set  $G_1 = 2K_{2^n}$  and  $G_2 = Q_n$ . In what follows, we show, that there exists an embedding  $(\phi, \psi)$  of  $2K_{2^n}$  in  $Q_n$  with

$$\text{cg}(\phi, \psi) \leq 2^n. \quad (2)$$

Kleitman's paper [5] implies

$$\text{cr}(K_{2^n}) \geq \frac{2^n(2^n - 1)(2^n - 2)(2^n - 3)}{80}. \quad (3)$$

According to Kainen [8] it holds

$$\text{cr}(2K_{2^n}) = 4\text{cr}(K_{2^n}). \quad (4)$$

Substituting (2), (3) and (4) into (1), we obtain the desired result. Now we will show an embedding satisfying (2). Let  $\phi$  be any bijection of  $2K_{2^n}$  into  $Q_n$ . For any two vertices of  $Q_n$ , we have to design two paths between them. Consider two arbitrary vertices  $u$  and  $v$  of  $Q_n$ . Let  $d$  be their distance. Then there exists the unique path of length  $d$  starting in  $u$ , traversing dimensions in ascending order and ending in  $v$ . Let the second path be the symmetrical one starting in  $v$  and ending in  $u$ . Let  $e = (x, y)$  be an arbitrary edge of  $Q_n$  lying in a dimension  $i, 1 \leq i \leq n$ . Now we count the number of edges of  $2K_{2^n}$  whose images (paths) traverse the edge  $(x, y)$ . Let  $A$  ( $B$ ) be the subcube of  $Q_n$  that contains  $x$  ( $y$ ) and lies in dimensions  $1, 2, \dots, i-1$  ( $i+1, i+2, \dots, n$ ). (If  $i = 1$  or  $n$  then  $A$  or  $B$  is a single vertex, i.e.  $Q_0$ .) Similarly, let  $C$  ( $D$ ) be the subcube of  $Q_n$  that contains  $y$  ( $x$ ) and lies in dimensions  $0, 1, 2, \dots, i-1$  ( $i+1, i+2, \dots, n$ ). It is easy to show that when an above defined path contains the edge  $(x, y)$  it must start in  $A$  (or  $C$ ) and end in  $B$  (or  $D$ ). Thus

$$\text{cg}_e(\phi, \psi) \leq 2^{i-1}2^{n-i} + 2^{i-1}2^{n-i} = 2^n$$

and consequently

$$\text{cg}(\phi, \psi) \leq 2^n. \quad \square$$

We use the same method to prove the lower bound on  $\text{cr}(CCC_n)$ .

**Theorem 3.2**

$$\text{cr}(CCC_n) > \frac{4^n}{20} - 3(n+1)2^{n-2}.$$

*Proof:* Denote by  $CCP_n$  (Cube Connected Paths) the graph which is obtained from  $CCC_n$  by removing edges  $((i, 0), (i, n-1))$ , for  $i = 0, 1, 2, 3, \dots, 2^n - 1$ . Observe that the graph  $CCP_n$  has a simple recursive structure. Clearly it holds

$$\text{cr}(CCC_n) \geq \text{cr}(CCP_n). \quad (5)$$

Set  $G_1 = K_{2^n, 2^n}$ ,  $G_2 = CCP_n$ . In what follows we shall construct an embedding  $(\phi_n, \psi_n)$  of  $K_{2^n, 2^n}$  in  $CCP_n$  such that

$$\text{cg}(\phi_n, \psi_n) = 2^n. \quad (6)$$

Once more the Kleitman's result [5] implies

$$\text{cr}(K_{2^n, 2^n}) \geq \frac{2^{2^n-1}(2^n - 1)(2^{n-1} - 1)}{5}. \quad (7)$$

Substituting (6) and (7) into (1) and noting (5) we obtain the desired result.

Assume  $n \geq 2$ . Let  $\phi_n$  be an injection that maps the first (second)  $2^n$  mutually nonadjacent vertices of  $K_{2^n, 2^n}$  in the set  $\{(i, 0) \mid i = 0, 1, 2, 3, \dots, 2^n - 1\}$  ( $\{(i, n-1) \mid i = 0, 1, 2, 3, \dots, 2^n - 1\}$ ). We design  $\psi_n$  by induction. Let  $n = 2$ . The 16 paths between the vertices  $\{(i, 0) \mid i \leq 3\}$  and  $\{(i, 1) \mid i \leq 3\}$  are the following:

$(k, 0)(k, 1)$   
 $(k, 0)(k+1, 0)(k+1, 1)$   
 $(k, 0)(k, 1)((k+2) \bmod 4, 1)$   
 $(k, 0)(k+1, 0)(k+1, 1)((k+3) \bmod 4, 1)$  for  $k = 0, 2$   
 $(k, 0)((k-1), 0)((k-1), 1)$   
 $(k, 0)(k, 1)$   
 $(k, 0)((k-1), 0)((k-1), 1)((k+1) \bmod 4, 1)$   
 $(k, 0)(k, 1)((k+2) \bmod 4, 1)$  for  $k = 1, 3$ .

Clearly  $\text{cg}(\phi_2, \psi_2) = 4$ .

Assume we have constructed  $(\phi_{n-1}, \psi_{n-1})$  such that  $\text{cg}(\phi_{n-1}, \psi_{n-1}) = 2^{n-1}$ . Consider vertices  $(i_1, 0), (i_2, n-1)$  of  $CCP_n$ .

1. If  $i_1, i_2 < 2^{n-1}$  or  $i_1, i_2 \geq 2^{n-1}$  then we first form a path between  $(i_1, 0)$  and  $(i_2, n-2)$  using  $\psi_{n-1}$  and then prolong this path to  $(i_2, n-1)$ .
2. If  $i_1 < 2^{n-1}$  and  $i_2 \geq 2^{n-1}$  then we first form a path between  $(i_1, 0)$  and  $(i_2 - 2^{n-1}, n-2)$  using  $\psi_{n-1}$  and then prolong this path to  $(i_2, n-1)$  through  $(i_2 - 2^{n-1}, n-1)$ . The case  $i_1 \geq 2^{n-1}, i_2 < 2^{n-1}$  is analogical. One can easily see that

$$\text{cg}(\phi_n, \psi_n) = \max(2\text{cg}(\phi_{n-1}, \psi_{n-1}), 2^n) = 2^n. \quad \square$$

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